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UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: UNO et al.
Attorney Docket: 10873.767USWO
Title: OPTICAL INFORMATION RECORDING MEDIUM,
RECORDING/REPRODUCING METHOD FOR THE SAME, AND
OPTICAL INFORMATION RECORDING/REPRODUCING

CERTIFICATE UNDER 37 CFR 1.10

Express Mail mailing label number: ED874662493US

Date of Deposit: 13 May 2005

I hereby certify that the papers listed below are being deposited with the United States Postal Service Express Mail Post Office to Addressee service under 37 CFR 1.10 in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Arlington, VA 22313-1450.

By: 

Name: Raquel M. Zamorano-Carey

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

52835

PATENT TRADEMARK OFFICE

Sir:

The following papers are transmitted herewith:

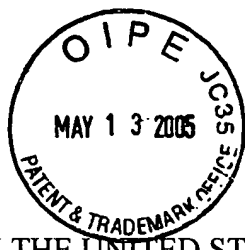
- ☒ Information Disclosure Statement, Form 1449, 5 references, Supplementary European Search Report
- ☒ Return postcard
- ☒ Other: Change of Address

Please charge any additional fees or credit overpayment to Deposit Account No. 50-3478. A duplicate of this sheet is enclosed.

Hamre, Schumann, Mueller & Larson, P.C.
P.O. Box 2902-0902 Minneapolis, MN 55402
612.455-3800

By: 

Name: Curtis B. Hamre
Reg. No.: 29,165
Initials: DPM/CBH /hjm



S/N 09/913,430

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	UNO et al.	Examiner:	CRIADO, J.
Serial No.:	09/913,430	Group Art Unit:	2655
Filed:	14 August 2001	Docket No.:	10873.767USWO
Title:	OPTICAL INFORMATION RECORDING MEDIUM, RECORDING/REPRODUCING METHOD FOR THE SAME, AND OPTICAL INFORMATION RECORDING/REPRODUCING		

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By: 

Name: Raquel M. Zamorano-Carey

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(e))

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted after the mailing date of a first Office Action on-the-merits or a first Office Action after filing a Request for Continued Examination under 37 C.F.R. § 1.114 or a CPA under 37 C.F.R. § 1.53(d), but before the mailing date of: i) a final action under 37 C.F.R. § 1.113; ii) a Notice of Allowance under 37 C.F.R. § 1.311; or iii) an action that otherwise closes prosecution on the application. No fee is due as this statement is submitted as certified below under 37 C.F.R. § 1.97(e)(1) or (2) by the undersigned.

Certification Under 37 C.F.R. §1.97(e)(1)

In accordance with 37 C.F.R. §1.97(c) or §1.97(d), the undersigned hereby certifies that each item of information listed on the enclosed Form 1449 was first cited in a communication from a foreign patent office in a counterpart foreign application within three months of filing this statement.

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

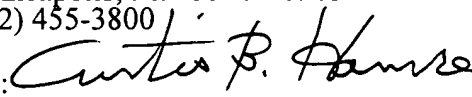
Please charge any additional fees or credit any overpayment to Deposit Account No. 50-3478.

Respectfully submitted,

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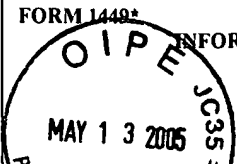
Dated: 13 May 2005

By:



Curtis B. Hamre
Reg. No. 29,165

DPM:CBH:hjm

FORM 1449*  INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION (Use several sheets if necessary)	Docket Number: 10873.767USWO	Application Number: 09/913,430
	Applicant: UNO et al.	
	Filing Date: 14 August 2001	Group Art Unit: 2655

U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS							
	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	EP 1 028 421	16 Aug. 2000	EPO				
	WO 99/59143	18 Nov. 1999	WIPO				
	EP 0 957 477	17 Nov. 1999	EPO				
	EP 1 005 036	31 May 2000	EPO				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		
		YAO et al. "Optical Transition of Chalcogenide Phase-Change Thin Films". <i>Japanese Journal of Applied Physics</i> , Vol. 42, No. 2B, pp. 828-831 (2003).

52835
PATENT TRADEMARK OFFICE

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.	